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# **Air pollution and the lung in children: the evidence for exposure and prevention measures**

PD Dr. med. Jakob Usemann, MD PhD  
University Children's Hospital Basel

# Overview

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Background on pollutants outdoor and indoor pollutants

Outdoor air pollution during pregnancy and lung development (short term)

Outdoor air pollution during childhood and lung development (long term)

# Overview

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## Outdoor:

Particulate matter (**PM<sub>10</sub>**, **PM<sub>2.5</sub>**, **PM<sub>1</sub>**): carbon monoxide (CO), sulfur dioxide (**SO<sub>2</sub>**), nitrogen oxide (**NO<sub>2</sub>**), ozone (**O<sub>3</sub>**), lead, polycyclic aromatic hydrocarbons (**PAH**)

## Indoor:

Tobacco smoking, biomass, gas and other fuels for cooking and heating e.g. benzene, open fire places, furnishings, building materials

# Background on outdoor air pollutants

## **PM: particulate matter / size in $\mu\text{m}$**

Origin mostly traffic and industry

Rather homogenous spatial distribution

## **$\text{NO}_2$ : nitric dioxide**

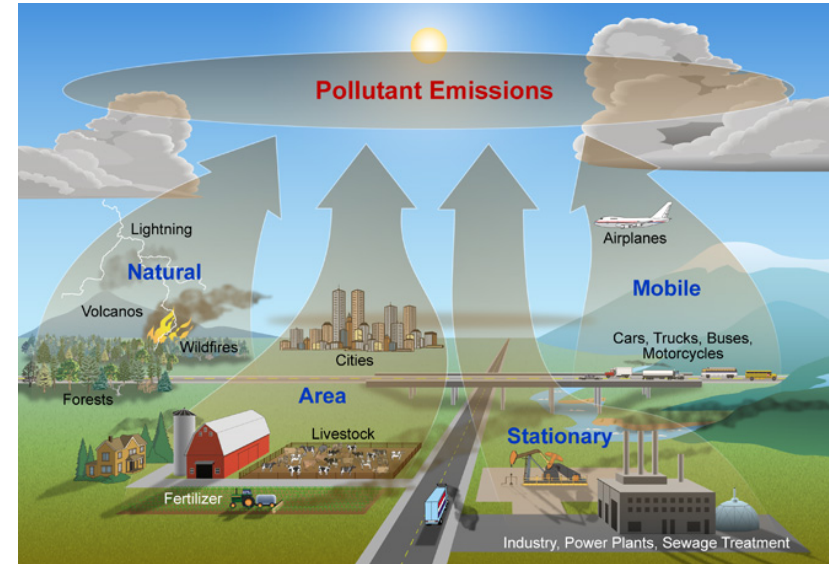
Origin mostly traffic

Usually higher close to roads

## **Black carbon**

Pure carbon in several forms

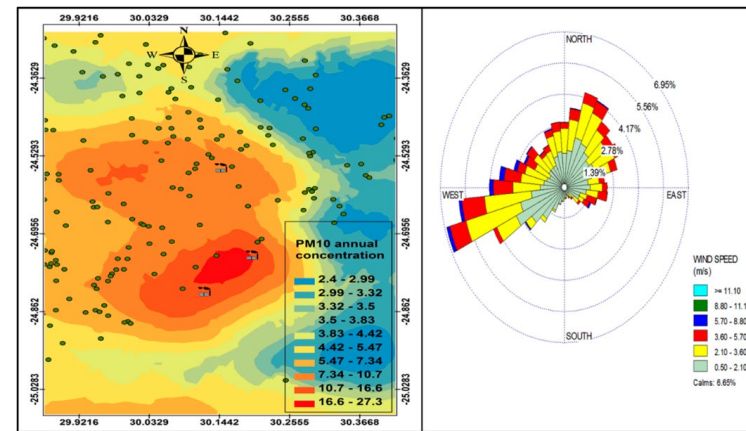
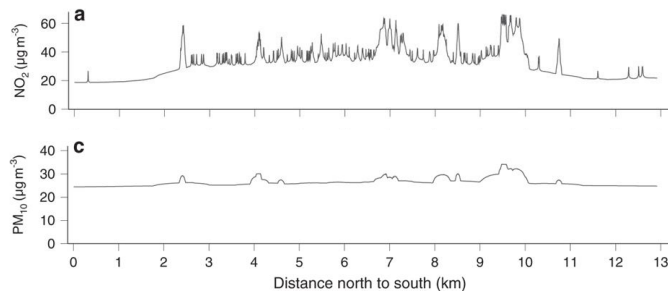
Origin incomplete combustion of fuel and biomass



# Pollutants – spatial variation

## Spatial variation – Utrecht (NL)

## Spatial variation – Limpopo (South Africa)

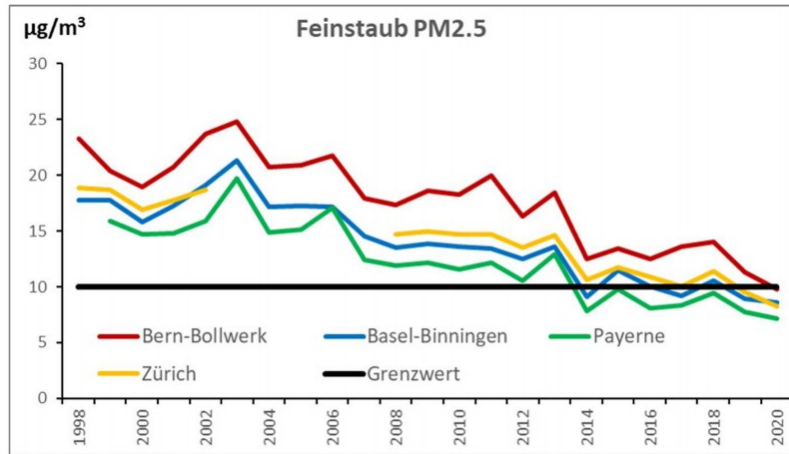


Adapted from Schmidz et al. 2019. High resolution annual average air pollution concentration maps for the Netherlands, *Scientific Data* 6:190035

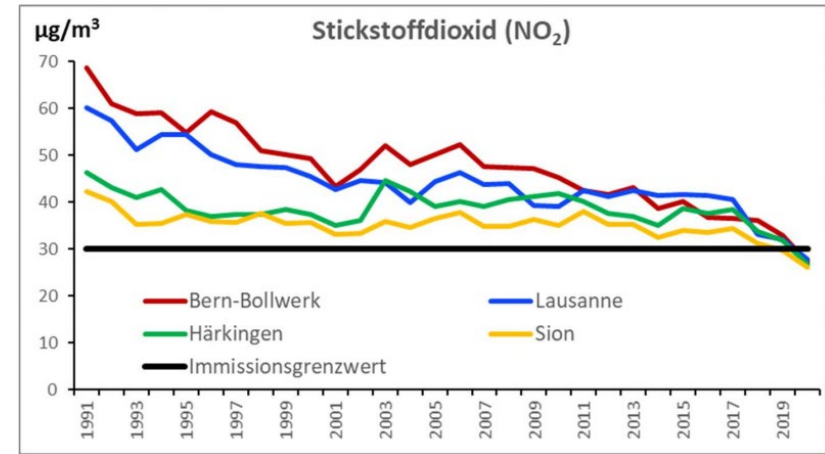
Adapted from Tshela et al. 2019. Spatial and Temporal Variation of  $\text{PM}_{10}$  from Industrial Point Sources in a Rural Area in Limpopo, South Africa, *Int J Environ Res Public Health*. 2019

# Pollutants – temporal variation in Switzerland

## Temporal variation PM<sub>2.5</sub>



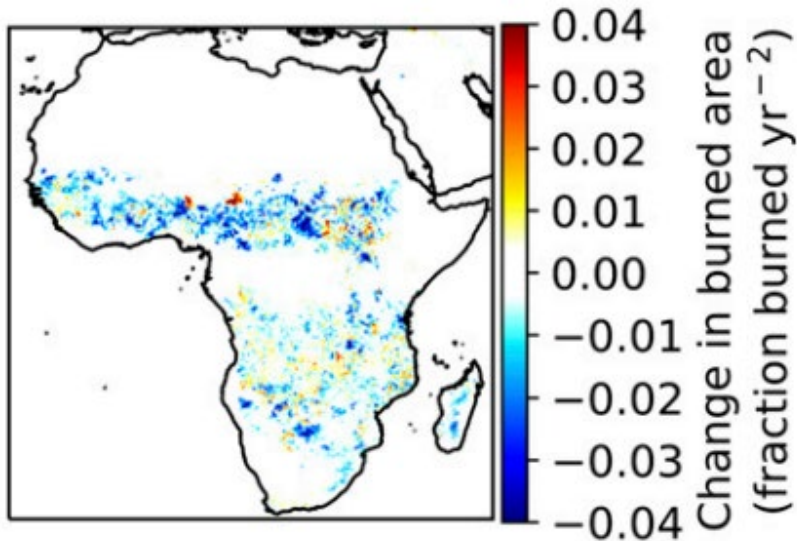
## Temporal variation NO<sub>2</sub>



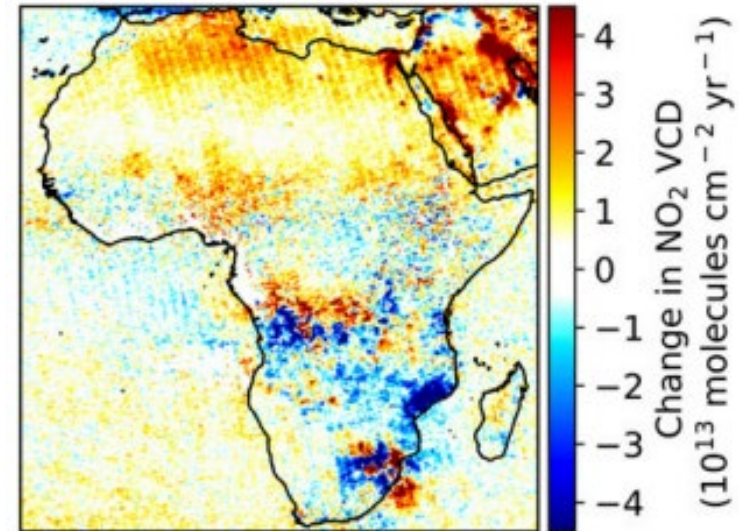
Source: NABEL, results of national measurement stations, BAFU, EMPA, accessed 04.07.2021

# Pollutants – temporal variation in South Africa

Change in burned area (2005-2017)



Change in  $\text{NO}_2$  (2005-2017)



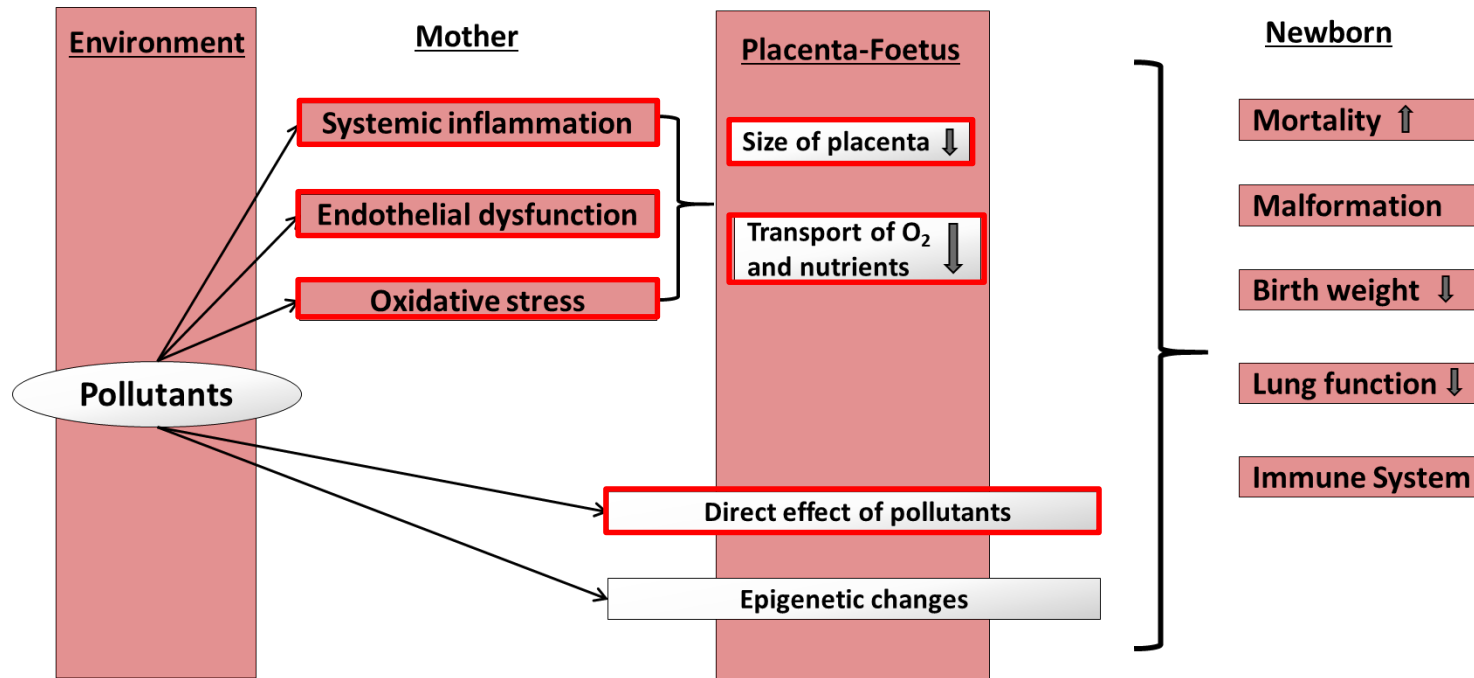
## Air Pollution during pregnancy: mechanisms

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- Dependent on stage of development
- Systemic effect on the mother:
  - reduced placental perfusion
  - reduced nutrient exchange
- Direct toxic effect through placental transfer of pollutants (e.g., nanoparticles)
- Proinflammatory/oxidative/hormonal stress effect
- Changes to the immune system development
- Changes to lung growth and development
- Genetic interactions/epigenetic effects



# Air pollution during pregnancy



Lee et al. *Epidemiology* 2011, reviewed in Slama et al. *Env Health Persp* 2008

adapted from Korten et al. *Ped Resp Rev* 2017

# Infant lung function – Switzerland

241 term-born infants from the BILD cohort

Exposure towards PM<sub>10</sub> and NO<sub>2</sub> during pregnancy

Lung function at around 4 weeks



	Basic model			Full model		
	coefficient	CI 95%	p Value	coefficient	CI 95%	p Value
<b>Prenatal PM<sub>10</sub> and Minute ventilation [mL/min]</b>	<b>19.9</b>	<b>4.7 – 35.0</b>	<b>0.010</b>	<b>24.7</b>	<b>8.9 – 40.5</b>	<b>0.002</b>
<b>Prenatal NO<sub>2</sub> and eNO [ppb]</b>	<b>0.67</b>	<b>0.23 – 1.10</b>	<b>0.003</b>	<b>0.96</b>	<b>0.44 – 1.48</b>	<b>&lt;0.001</b>

# Infant lung function – South Africa

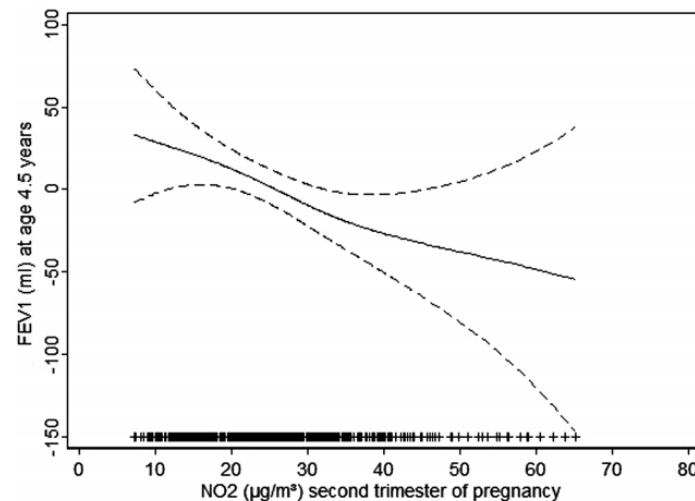
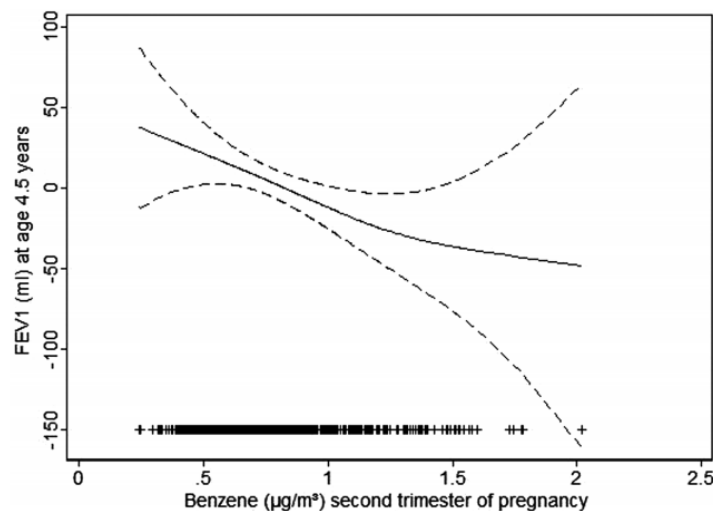
270 term-born infants from the Drakenstein Child Health Study

Exposure towards PM<sub>10</sub> during pregnancy and first year

	$\beta$ -estimate (95% CI)	p-value
<b>Prenatal</b>		
PM <sub>10</sub>		
FRC (6 weeks)	−1.9 (−4.5–0.7)	0.160
Tidal volume (6 weeks)	−0.4 (−1.3–0.6)	0.419
FRC (1 year)	−9.0 (−17.2–−0.9)	0.032 <sup>#</sup>
<b>Postnatal</b>		
PM <sub>10</sub>		
FRC (1 year)	−4.3 (−12.5–3.9)	0.304
Tidal volume (1 year)	−2.9 (−5.4–−0.5)	0.022 <sup>#</sup>
LRTI (in the first year)	0.0 (−0.3–0.4)	0.799

# Childhood lung function– Spain

620 term-born infants from the INfancia y Medio Ambiente (INMA) cohort  
Exposure towards benzene and NO<sub>2</sub> during pregnancy (second trimester)  
Lung function at around 4.5 years, outcome FEV1

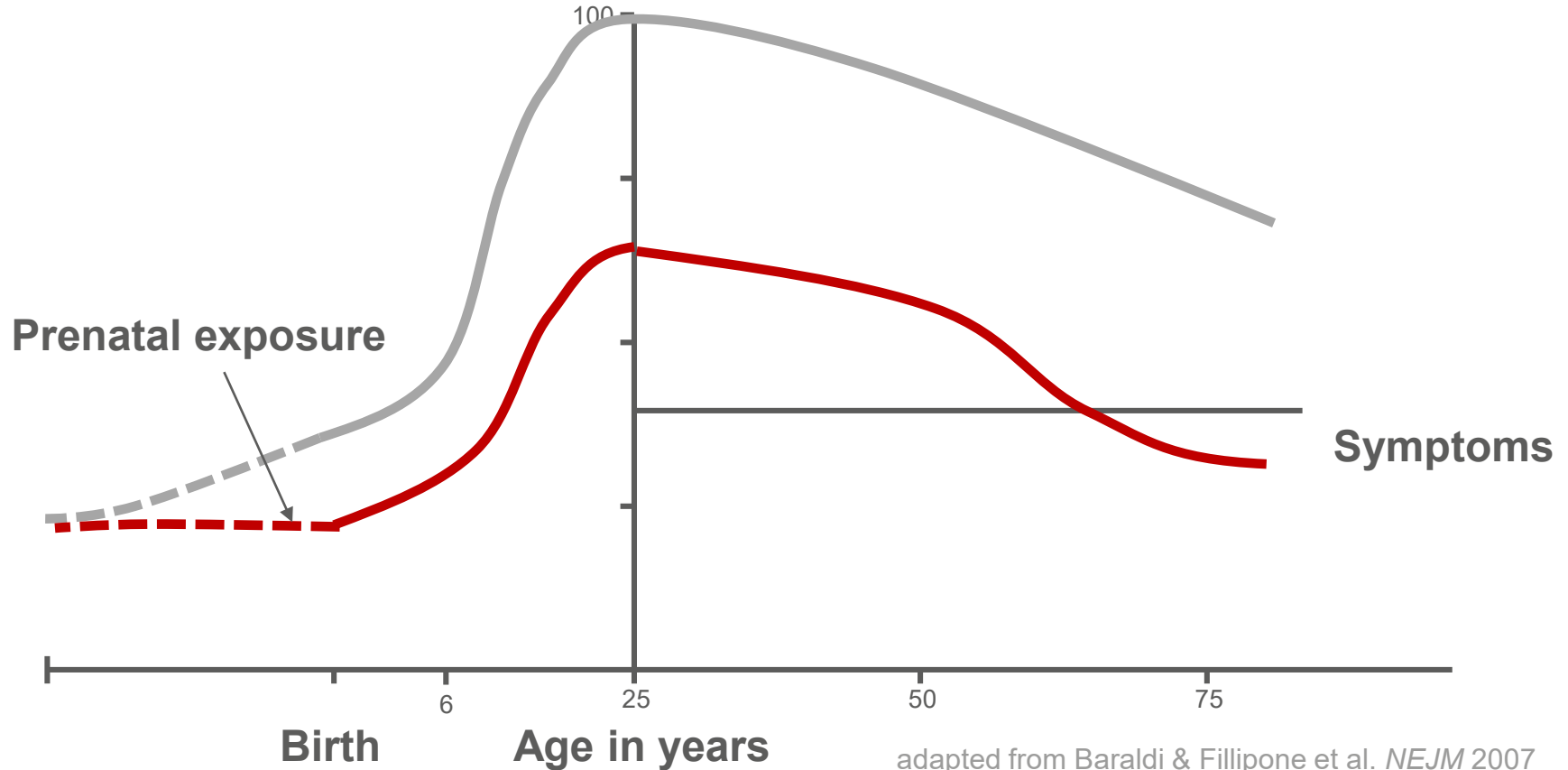


# Effects of long-term air pollution exposure during childhood

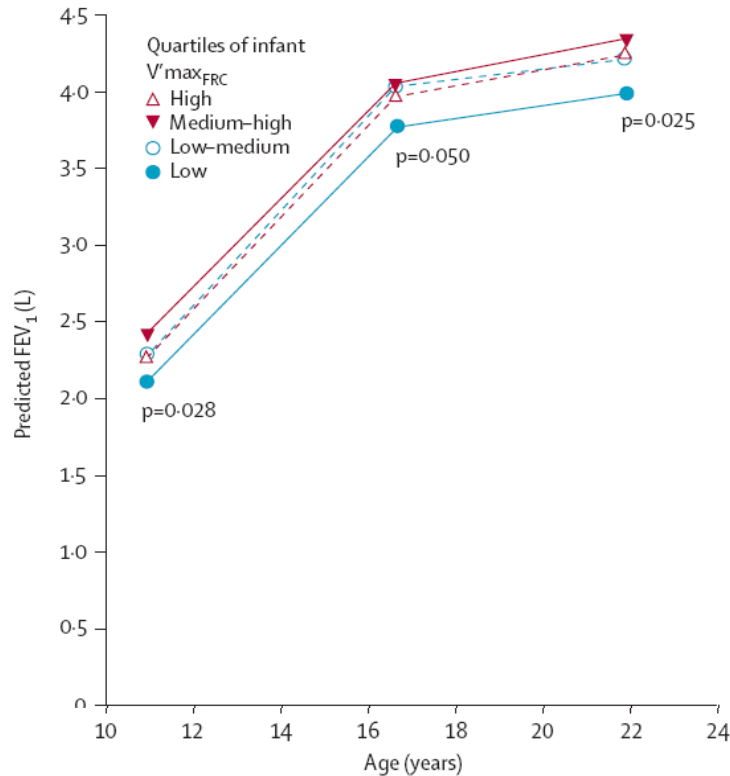
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- Development of chronic lung problems in children
- Reduced lung function  
(z.B. *Env. Research* **1989**; 50: 309-321)
- PM<sub>x</sub> → development of bronchitis, chronic cough, less from asthma  
(*J Air Poll Control Assoc.* 1982; 32: 937-942)  
(*Schweiz: AJRCCM* 1997; 155:1042-1049)
- Ozone → decline in lung function  
(*Env. Research* 1997; 72: 8-23)
- Road traffic (outdoor NO<sub>2</sub> + polycyclic aromatic hydrocarbons KWS, Diesel) correlated with asthma, hay fever, sensitisation to airborne allergens  
(*ERJ* 1997; 10: 2275-8)  
(*Epidemiology* 2000; 11: 64-70)

# Lung development and prenatal exposure



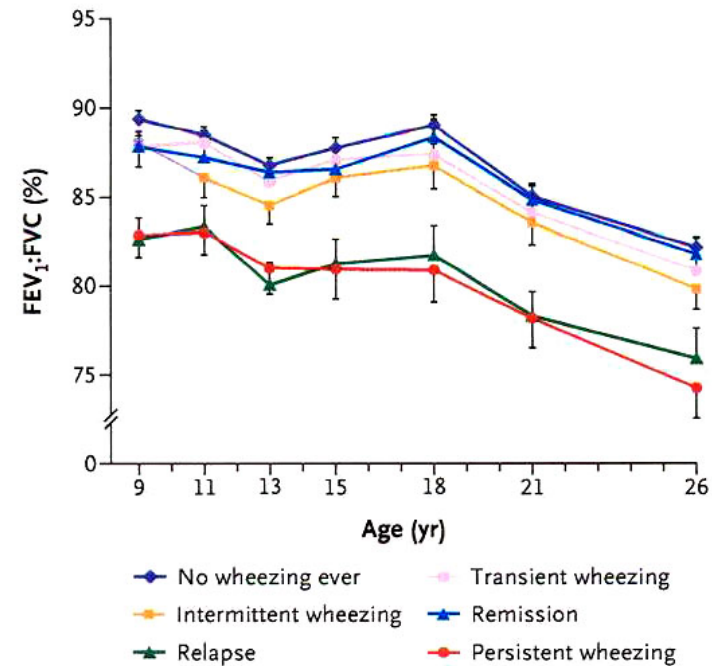
# 'Tracking' of lung function during development



Stern et al. Lancet 2007;370:758–64

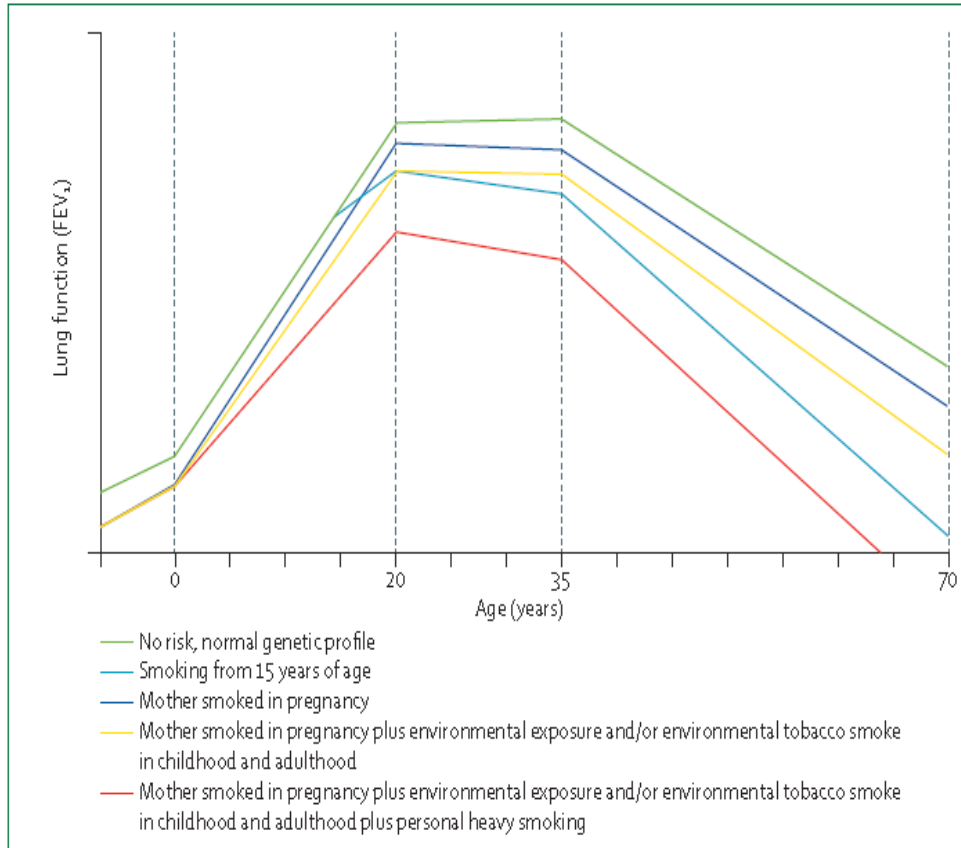
© UKBB Jakob Usemann

## A Male Study Members



Sears MR et al. N Engl J Med 2003;349:1414–22

# Early childhood risk factors associated with functional development throughout life



**Childhood risk factors for the decline in lung function in adulthood (28–73 yrs.)**

- Infants born during winter
- Smoking mothers
- Older mothers

Dravda J et al. SAPALDIA PlosOne 2016; DOI 10.1371



# Influence of long-term exposure on lung development

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Air pollution in Poland is associated with reduced lung function during development

(e.g.. *Env Health Perspect* 1999; 107: 669-674)

PM<sub>10</sub> particle concentration is inversely correlated to lung function development during childhood

(*ERJ* 2002; 19: 838-845)

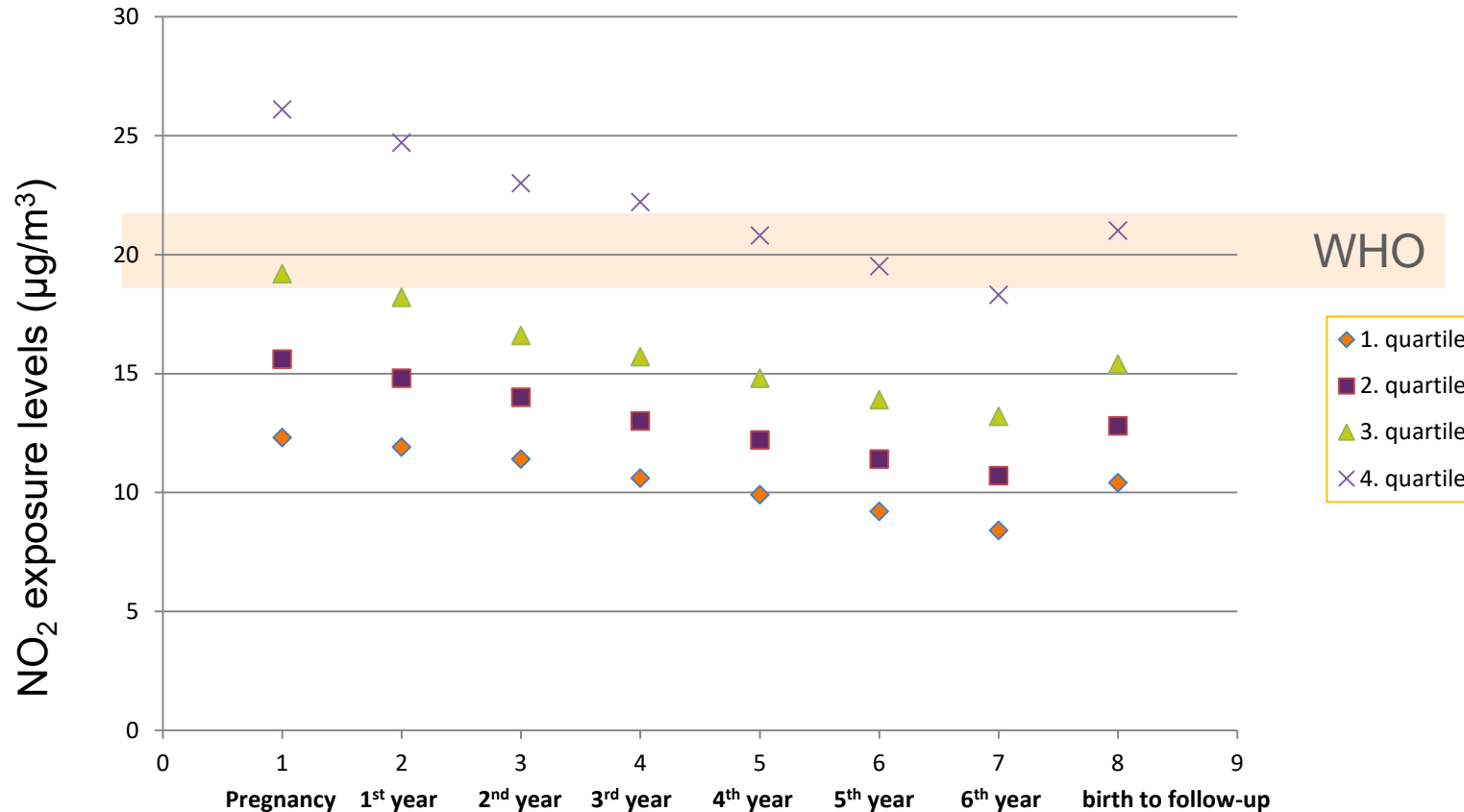
Children who move away from polluted areas can return to normal lung functional development (and vice versa)

(*AJRCCM*; 2001; 164: 2067-72)

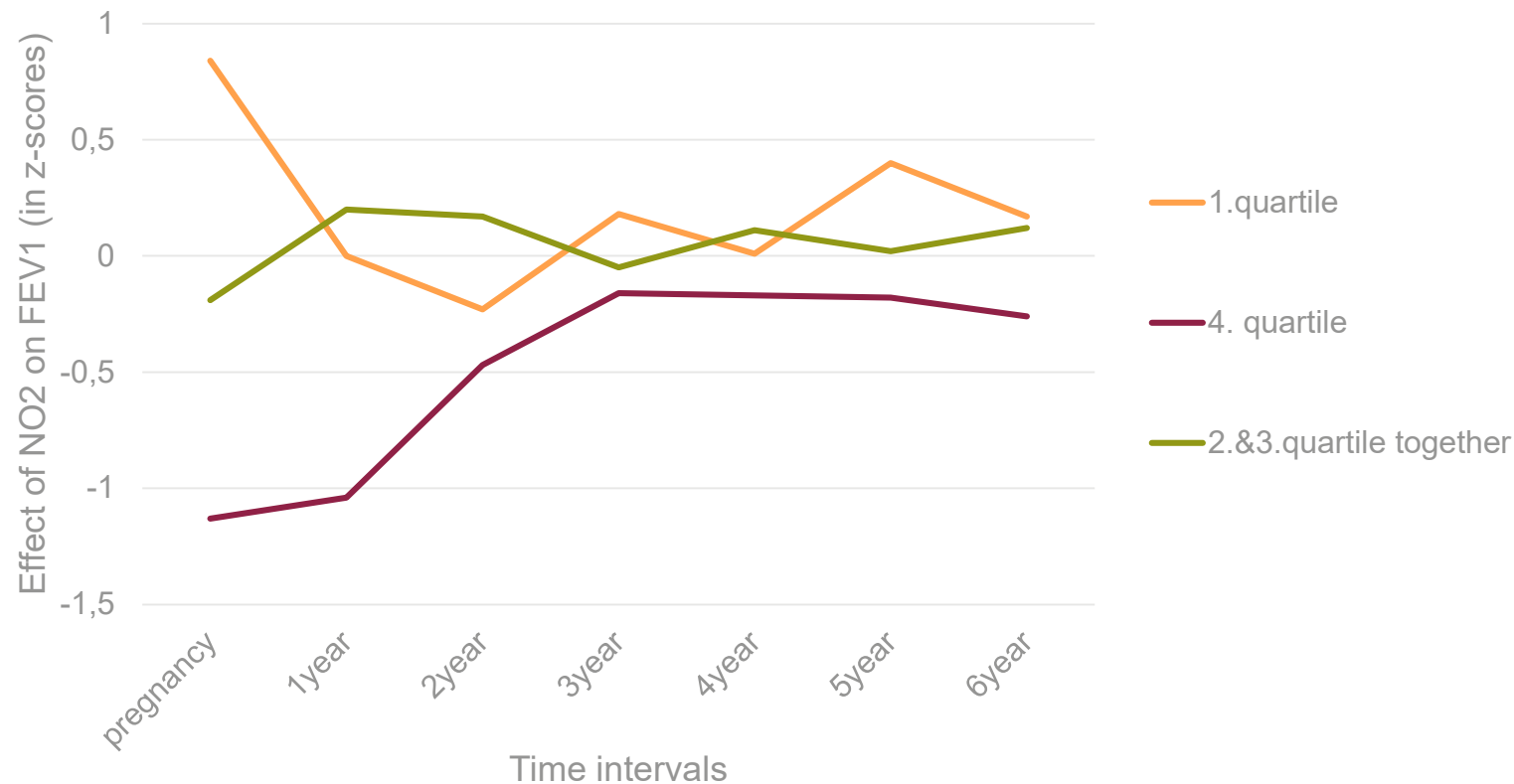
Improvement in air quality → reduced clinical symptoms

(*AJRCCM*; 2000; 161: 1930-36)

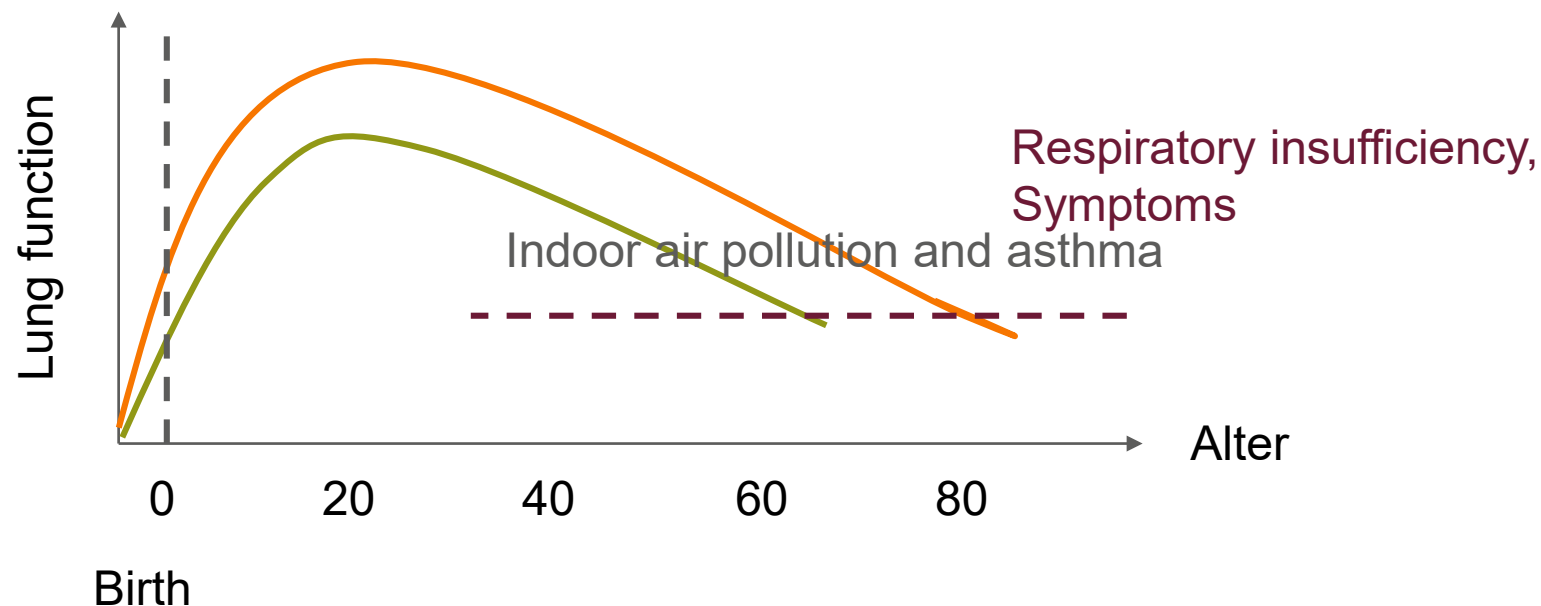
# Early life low level air pollution and lung functional growth



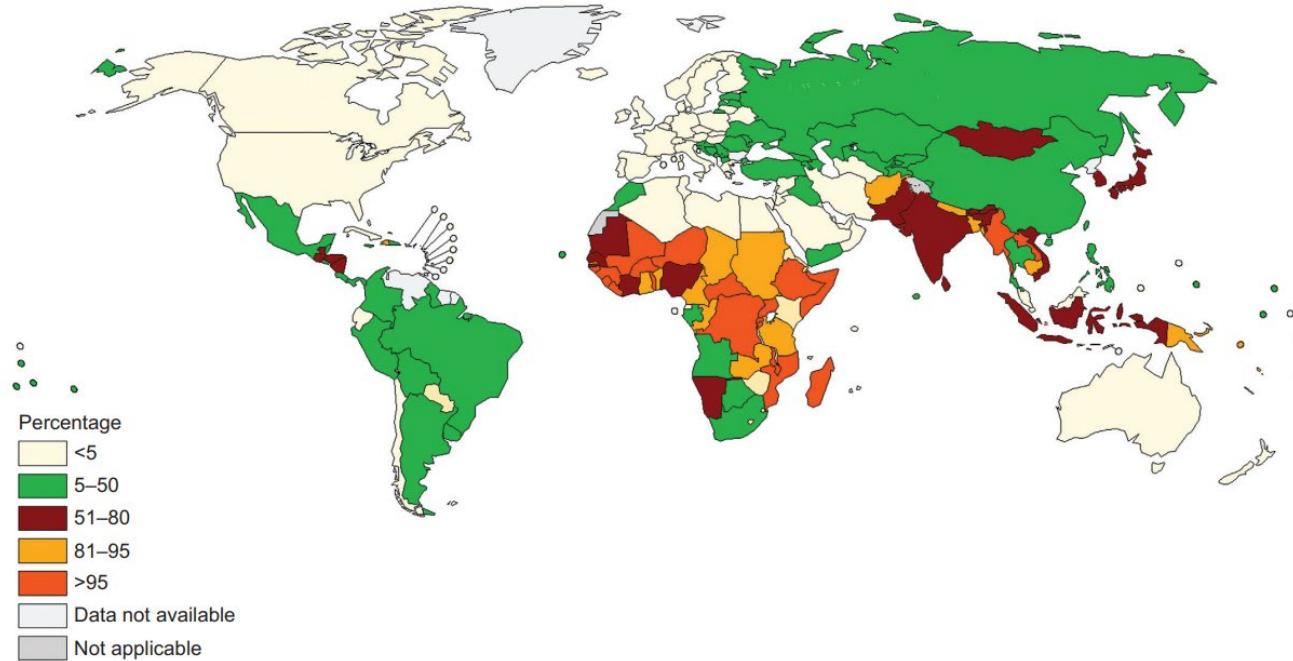
# Early low level air pollution and lung growth



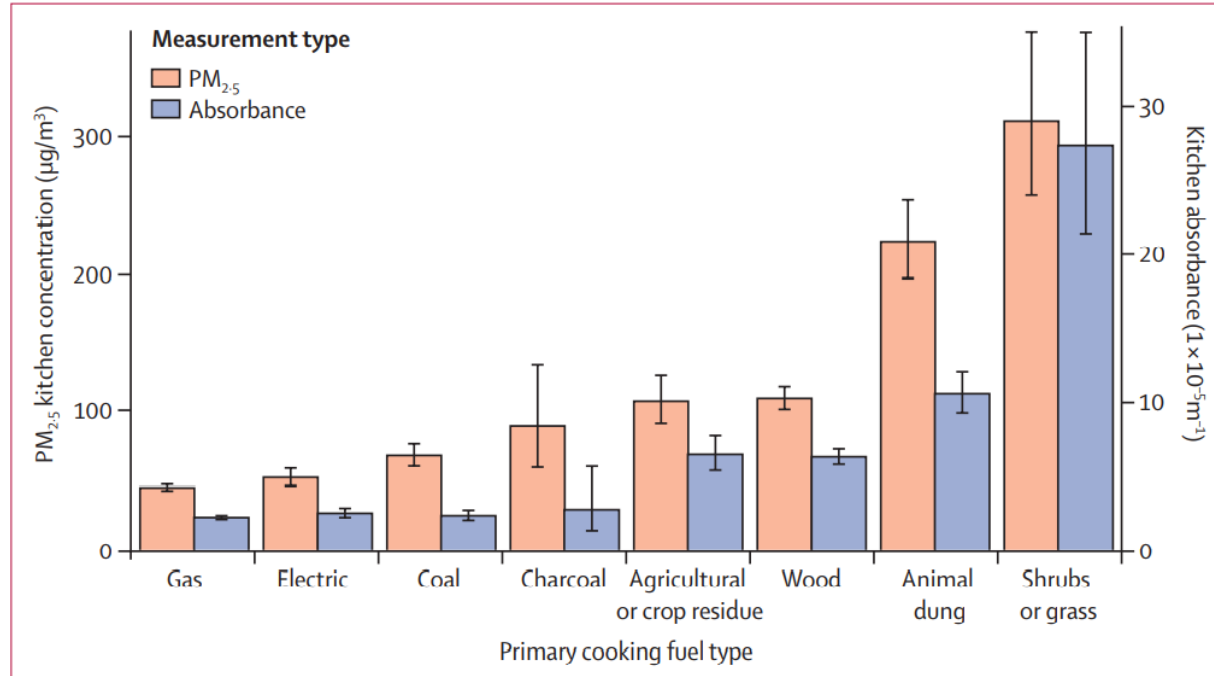
# Long-term effects on health development



# Percentage of solid fuel use



# Exposure levels of indoor air pollution



Switzerland: PM<sub>2.5</sub> ca 12.1  $\mu\text{g}/\text{m}^3$

# Indoor air pollution and asthma

**TABLE 1** Studies of the relationship between biomass exposure and asthma prevalence

First author [ref.]	Country	Fuel type	Sample size	Sample type	Diagnosis criteria	Effect size OR (95% CI)
<b>MOHAMED [95]</b>	Kenya	Biomass and clean fuel	77 cases and 77 controls	Children aged 9–11 yrs	Adapted from IUATLD	2.5 (2.0–6.4)
<b>AZIZI [96]</b>	Malaysia	Wood and kerosene	158 cases and 201 control	Children aged 1 month to 5 yrs	Hospital-based doctor diagnosed	1.4 (0.60–3.60) wood and 0.9 (0.50–1.60) kerosene
<b>MELSOM [97]</b>	Nepal	Biomass and clean fuel	121 cases and 126 control	Children aged 11–17 yrs	ISAAC criteria	2.2 (1.0–4.5)
<b>MISHRA [98]</b>	India	Biomass and clean fuel	38595 subjects	Adults aged ≥60 yrs	Based on interviewee replying yes to asthma questionnaire	1.59 (1.30–1.94)
<b>SCHEI [99]</b>	Guatemala	Wood	1058 subjects	Children aged 4–6 yrs	ISAAC criteria	1.8 (0.76–4.19)

# Conclusion

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Associations between exposure towards air pollution and impaired lung development are relatively clear

Stronger effects seem to exist during periods of fastest lung growth

Reduction in exposure is associated with improved lung growth

Exposure below WHO cut-offs still have negative effects on lung function

Combination of risk factors attenuates detrimental effects on lung growth

Modifiable risk factors should further be reduced



# Acknowledgements

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Professor Prof Urs Frey, Basel

Professor Philipp Latzin, Bern

PD Dr. Kees de Hoogh & Professor Martin Rösli from Swiss TPH Basel

# Thank you very much for your attention





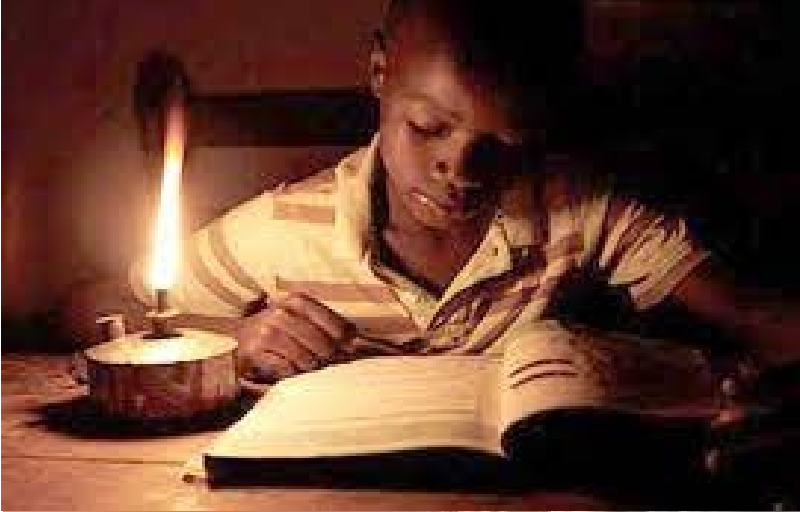
# **Air Pollution and the Lung in Children**

## **Prevention Measures**

**Rebecca Nantanda**

**PATS & ERS Paediatric Webinar**

**Tuesday 13<sup>th</sup> July 2021**



Common sources of air pollution

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# Why intervene?

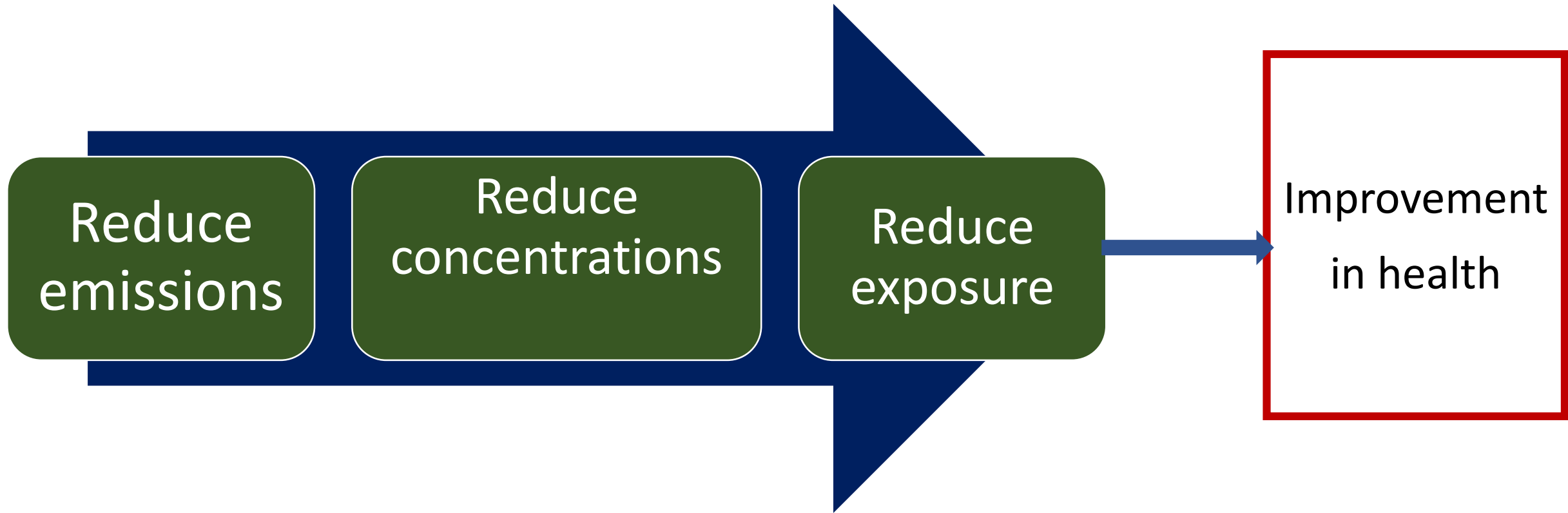
- Air pollution has life long implications (intra-uterine life – adulthood)
- Growing lungs ( and other body organs) are very vulnerable to effects of air pollution
  - Impact on overall growth and development and the potential of affected children
- Air pollution is directly linked to killer diseases
  - 50% of pneumonia deaths linked to air pollution
- Reducing air pollution leads to improvement in lung function

# How to protect children from air pollution

- Invest in sustainable cleaner energy sources
- Reduce on fossil fuel combustion
- Minimize children's exposure to polluted air
- Improve air pollution monitoring and its link with children's health
- Strengthen children's overall health increased resilience to effects of air pollution



# Approach to interventions



# *The* NEW ENGLAND JOURNAL *of* MEDICINE

ESTABLISHED IN 1812

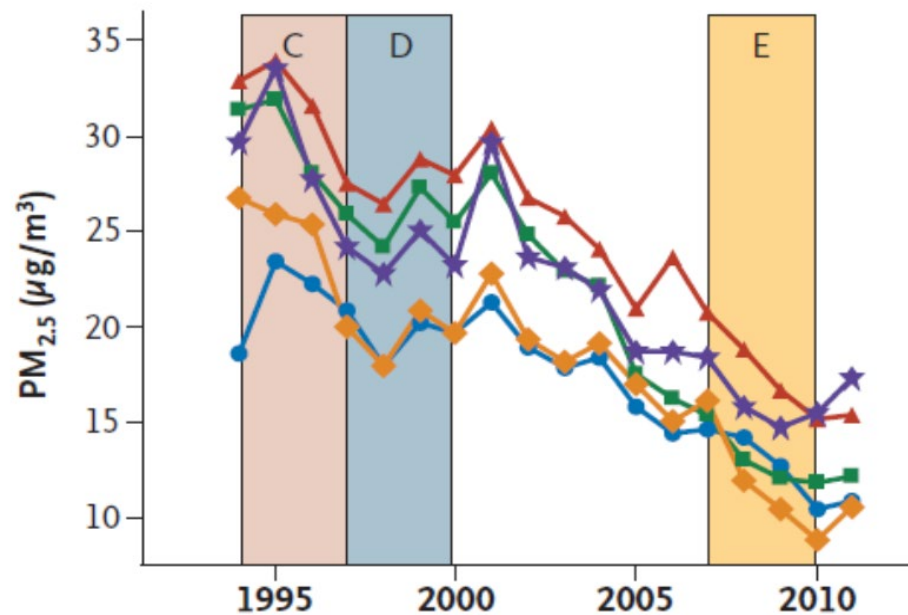
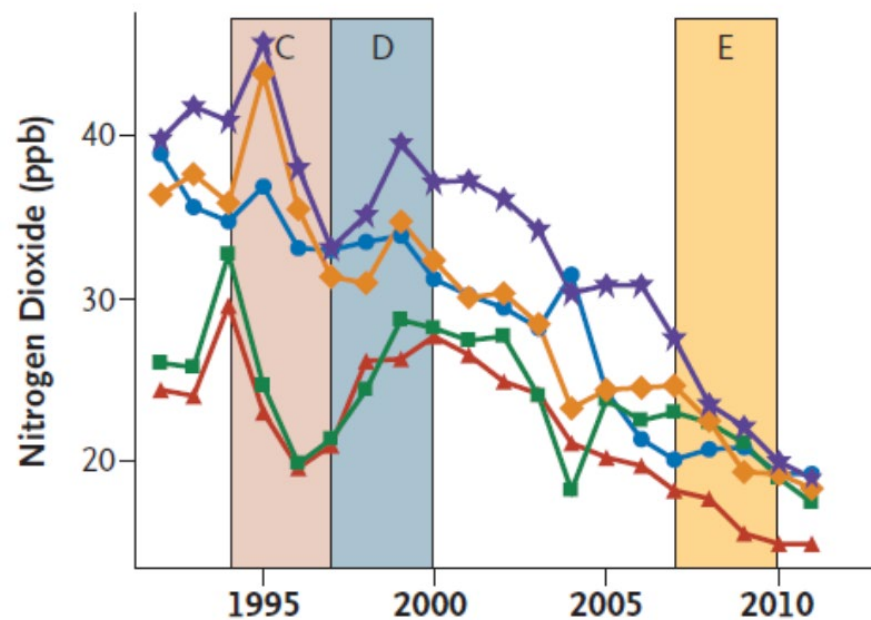
MARCH 5, 2015

VOL. 372 NO. 10

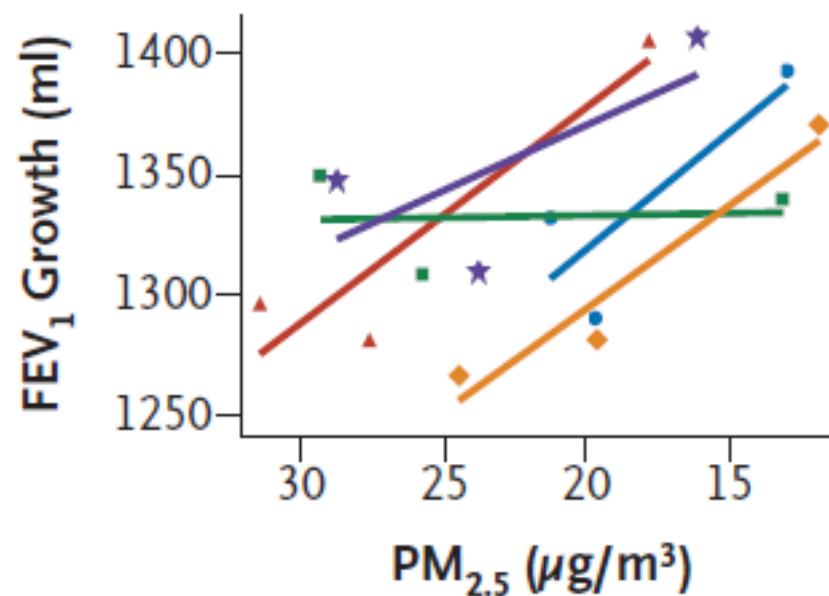
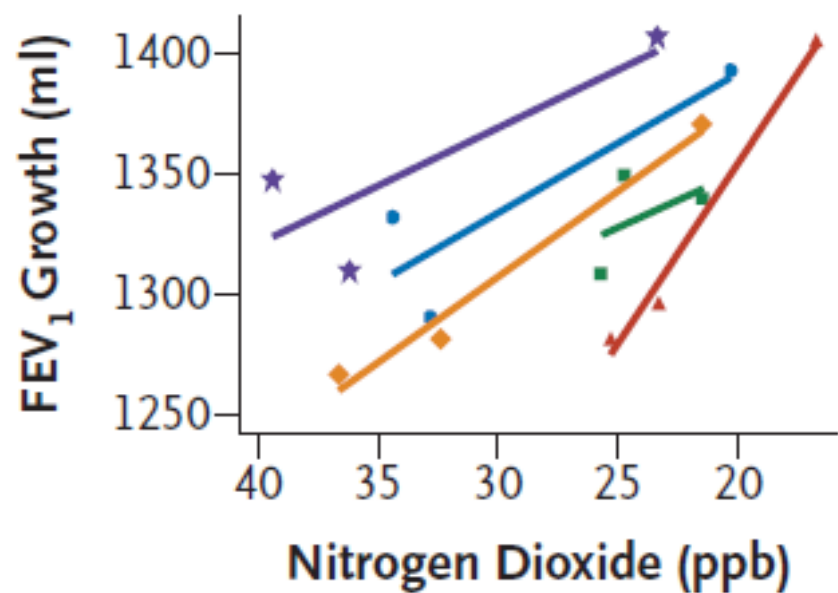
## Association of Improved Air Quality with Lung Development in Children

W. James Gauderman, Ph.D., Robert Urman, M.S., Edward Avol, M.S., Kiros Berhane, Ph.D., Rob McConnell, M.D.,  
Edward Rappaport, M.S., Roger Chang, Ph.D., Fred Lurmann, M.S., and Frank Gilliland, M.D., Ph.D.





A





# Global Alliance for Clean Cookstoves

SAVE LIVES | IMPROVE LIVELIHOODS | EMPOWER WOMEN | COMBAT CLIMATE CHANGE



# CLEAN COOKSTOVES

## THE RESPIRE TRIAL: Guatemala highlands

534 households with a pregnant woman or infant  
randomized to receive a chimney stove or retain the open fire

Primary outcome: incidence of pneumonia in children

## Results

- Non-significant reduction in incidence of physician-diagnosed pneumonia
- Significant reduction in physician-diagnosed severe pneumonia (RR 0.67; 95% CI 0.45 to 0.98)



**Smith, K.R., et al. Lancet 2011, Heinzerling AP., et al. Thorax 2016**

# Cooking and Pneumonia Study (CAPS)

## A cleaner burning biomass-fuelled cookstove intervention to prevent pneumonia in children under 5 years old in rural Malawi (the Cooking and Pneumonia Study): a cluster randomised controlled trial



Kevin Mortimer, Chifundo B Ndamala, Andrew W Naunje, Jullita Malava, Cynthia Katundu, William Weston, Deborah Havens, Daniel Pope, Nigel G Bruce, Moffat Nyirenda, Duolao Wang, Amelia Crampin, Jonathan Grigg, John Balmes, Stephen B Gordon



### Summary

**Background** WHO estimates exposure to air pollution from cooking with solid fuels is associated with over 4 million premature deaths worldwide every year including half a million children under the age of 5 years from pneumonia. We hypothesised that replacing open fires with cleaner burning biomass-fuelled cookstoves would reduce pneumonia incidence in young children.

**Methods** We did a community-level open cluster randomised controlled trial to compare the effects of a cleaner burning biomass-fuelled cookstove intervention to continuation of open fire cooking on pneumonia in children living in two rural districts, Chikhwawa and Karonga, of Malawi. Clusters were randomly allocated to intervention and control groups using a computer-generated randomisation schedule with stratification by site, distance from health centre, and size of cluster. Within clusters, households with a child under the age of 4·5 years were eligible. Intervention households received two biomass-fuelled cookstoves and a solar panel. The primary outcome was WHO Integrated Management of Childhood Illness (IMCI)-defined pneumonia episodes in children under 5 years of age. Efficacy and safety analyses were by intention to treat. The trial is registered with ISRCTN, number ISRCTN59448623.

**Findings** We enrolled 10 750 children from 8626 households across 150 clusters between Dec 9, 2013, and Feb 28, 2016. 10 543 children from 8470 households contributed 15 991 child-years of follow-up data to the intention-to-treat analysis. The IMCI pneumonia incidence rate in the intervention group was 15·76 (95% CI 14·89–16·63) per 100 child-years and in the control group 15·58 (95% CI 14·72–16·45) per 100 child-years, with an intervention versus control incidence rate ratio (IRR) of 1·01 (95% CI 0·91–1·13;  $p=0\cdot80$ ). Cooking-related serious adverse events (burns) were seen in 19 children; nine in the intervention and ten (one death) in the control group (IRR 0·91 [95% CI 0·37–2·23];  $p=0\cdot83$ ).

**Interpretation** We found no evidence that an intervention comprising cleaner burning biomass-fuelled cookstoves reduced the risk of pneumonia in young children in rural Malawi. Effective strategies to reduce the adverse health effects of household air pollution are needed.

**Funding** Medical Research Council, UK Department for International Development, and Wellcome Trust.

*Lancet* 2017; 389: 167–75

Published Online  
December 6, 2016  
[http://dx.doi.org/10.1016/S0140-6736\(16\)32507-7](http://dx.doi.org/10.1016/S0140-6736(16)32507-7)

See [Comment](#) page 130

Malawi Liverpool Wellcome Trust Programme, Blantyre, Malawi (K Mortimer PhD, C B Ndamala Dip, A W Naunje, W Weston MBChB, Prof S B Gordon MD); Liverpool School of Tropical Medicine, Liverpool, UK (K Mortimer, W Weston, D Havens DO, Prof D Wang PhD, Prof S B Gordon); Malawi Epidemiology and Intervention Research Unit, Chilumba, Malawi (J Malava MPH, C Katundu Dip, Prof M Nyirenda PhD, A Crampin MPH); University of Liverpool, Liverpool, UK (D Pope PhD, Prof N G Bruce PhD); London School of Hygiene & Tropical Medicine, London, UK (Prof M Nyirenda, A Crampin); Queen Mary University of London, London, UK (Prof J Griq MD); University of





# Rwanda: High efficiency wood burning stove

- 2174 children, 5934 episodes of ARI
- Primary outcome –caregiver reported ARI
- Measured personal exposure for the cooks and child
- 25% reduction in prevalence of ARI, statistically significant  $PR=0.75$  (0.60-0.93),  $p=0.009$  (broad definition of pneumonia)
- No statistically significant difference –DHS –defined pneumonia, current pneumonia
- No statistically significant difference in mean  $PM_{2.5}$
- Decline in clean cookstove use from 81.2% to 64.4%
- Increase in use of traditional stove use from 24.1% to 49.4%

# LPG use in the pilot study in India: HAPIN trial

## Enrolled 41 women

No previous use of LPG,

Gestation weeks 9 to <20 weeks

**Design:** Before and after study

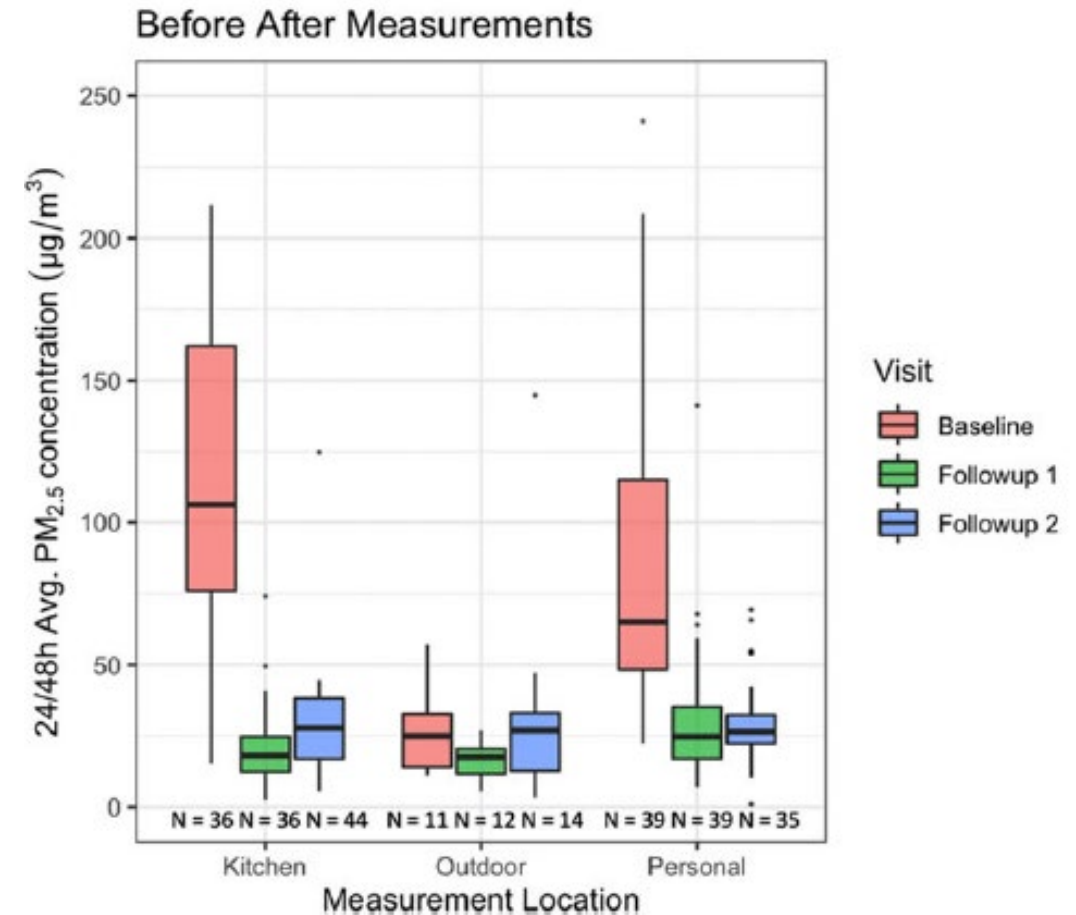
**Intervention :** Free of cost LPG, 100% adherence

## Measurements:

Personal, kitchen and outdoor PM<sub>2.5</sub>

baseline, 1 and 2 months

- **Kitchen=93% reduction in mean PM<sub>2.5</sub>**
- **Personal =78% reduction**



# Effect of LPG use on cardiopulmonary outcomes: RCT in Puno, Peru

**Participants:** 180 rural women aged 25-64 years

**Intervention package:** Stove, LPG delivery for 1 year, Education and behavioural change messaging

**Assessment:** BP, PEF, Respiratory symptoms (SGRQ), PM<sub>2.5</sub> CO, BC

Adherence assessed using temperature loggers

## **Primary outcomes**

Differences in lung function and respiratory symptoms

## **Results**

- LPG used in 98% of the days
- No difference in lung function and respiratory symptoms



# Global Public Health

An International Journal for Research, Policy and Practice

ISSN: 1744-1692 (Print) 1744-1706 (Online) Journal homepage: <https://www.tandfonline.com/loi/rgph20>

## Feasibility and acceptability of a midwife-led health education strategy to reduce exposure to biomass smoke among pregnant women in Uganda, A FRESH AIR project

Rebecca Nantanda, Shamim Buteme, Sanne van Kampen, Lucy Cartwright, Jill Pooler, Andy Barton, Lynne Callaghan, Jean Mirembe, Grace Ndeezi, James K. Tumwine, Bruce Kirenga & Rupert Jones





# Educational interventions: Midwife-led project in Uganda

## HOW TO PREVENT EXPOSURE TO BIOMASS SMOKE

- Reduce the amount of time spent by the fire especially mothers and young children.
- Keep children out of the kitchen especially when the fire is producing smoke
- Do not put young babies in smoky places
- Avoid burning rubbish and leaves, recycle or dispose off plastic, leaves and other organic matter should be dug into the soil.



## HOW TO PREVENT EXPOSURE TO HOUSEHOLD AIR POLLUTION

- Kitchen ventilation requires good air flow
- 2 windows
- Eaves spaces
- A hood to collect smoke from fire
- A stove with a chimney
- Alternatively build a new cooking hut with good ventilation
- Avoid burning kerosene lamps, but if they are used do not let them burn all night



## COMMON TYPES OF COOKING METHODS, FUEL AND ALTERNATIVES THAT REDUCE SMOKE.

### Fuel.

- Dry wood is better than wet wood,
- charcoal is better than dry wood
- Gas is better than charcoal

### Cookers and cooking.

- Cooking with lids on pans reduces the time to boil
- Retained heat cooking – the boiling pot is put into a box and packed around with suitable materials to keep it hot for a long time.
- There are many types of new cook stoves which;
  - Burn more cleanly (more heat less smoke)
  - Use much less wood
  - Reduce burns

## BENEFITS OF REDUCING EXPOSURE TO BIOMASS SMOKE/HOUSEHOLD AIR POLLUTION.

Keeping your unborn and young children away from smoke has lifelong benefits. These are:

- Healthy pregnancy
- Healthy baby
- Healthy child
- Healthy adult



## KEY POINTS TO NOTE.

- Always attend ANC appointments for monitoring of your pregnancy.
- Avoid staying in the kitchen for long hours while cooking
- Keep babies and young children away from the smoke/kitchen
- The effects of the smoke may not be immediately visible but are very dangerous/harmful to the baby's/mother's health.



## BIOMASS SMOKE:

*What every pregnant woman and mother needs to know.*



FRESH AIR  
Uganda

## Key findings

- Improvements in knowledge about risks of biomass smoke
- Changes made- keeping away from smoke, burying refuse
- Intent to change
- Buy solar panels, clean cookstoves
- Put chimneys on the kitchen
- Major barrier -Poverty

# Policy initiatives

Country/Year	Intervention
UK	Standards and implementation of fuel quality initiated by The Quality Assured Fuel Scheme.  National campaign to encourage uptake
South Africa	Encouraged investments in renewal energy through various funds, tax allowances and deductions
Namibia	Public campaign on forest conservation-reduction of fire incidences by 70%
Chile	Ministry of Environment- exchange of old stoves with new clean cookstoves
Rwanda	Banned non-biodegradable plastic bags -reduction in amount of burnt plastics

## **Urban planning**

- Gazetted areas for industries
- Structural designs and operations that minimize industrial emissions
- Provision for active transport

## **Personal strategies**

- Limit physical exertion outdoors on high pollution days
- Minimize use of highly polluted areas/roads
- Air quality alert systems

# Gaps and Research Opportunities

- **How clean does the air need to get for health benefits to be seen?**
- Cohort studies in Africa to document the impact of sustained air pollution exposure reduction and lung health
- Rigorous and methodologically strong studies with clear underlying behavioural theories and practices
- Evaluate early life origin of disease in relation to biomass smoke exposures in utero and early childhood
- Government-led initiatives in air quality monitoring, air quality management policies and how these impact of lung health outcomes

# Behavioural change interventions to improve adherence to clean cooking

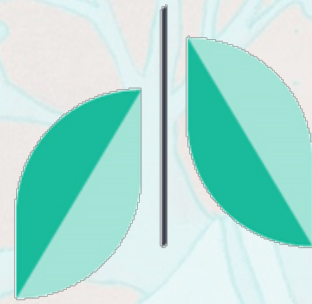
## **Formative research key findings**

- Perceived disadvantage of solid fuel stoves
- Family influence on cooking decisions
- Heating needs
- Previous awareness and experience with LPG
- Traditional cookware and stoves used in the cooking
- Traditional foods and preferred stove for preparing them



# DETERMINANTS OF LUNG FUNCTION AMONG INFANTS IN UGANDA: A BIRTH COHORT STUDY





acacia

achieving control of  
asthma in children in africa

# Children's Air Pollution Profiles in Africa (CAPPA)



Queen Mary  
University of London

ACACIA study Group

FUNDED BY

**NIHR**

National Institute  
for Health Research



**ukaid**  
from the British people



# Conclusions

- Interventions to reduce air pollution and improve children's lung health –mixed results
- Further research
  - Technologies-Low cost designs that are acceptable and sustainable
  - Incorporate behavioural change in studies on air pollution
  - Policies
  - Affordability, access to desired stoves/fuel, stove maintenance/ lifespan
- Consider in-depth evaluations to provide insight into fidelity, feasibility, quality of implementation and causal mechanisms

THANK YOU